

United States Senate  
**Financial Disclosures**  
**Candidate Report**

Mr. Thomas H Tuberville

State of Candidacy: AL | Candidacy Commenced: 04/08/2019

 **Filed 06/05/2020 @ 2:06 PM**

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I *omitted* assets because they meet the three-part test for exemption.

**Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria**

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?
1	02/04/2019	Speech	\$2,000.00	First United Methodist Church Alexander City, AL	A Charity
2	03/19/2019	Speech	\$1,750.00	Greater Alabama Council Anniston, AL	A Charity
3	03/19/2019	Speech	\$250.00	Greater Alabama Council Anniston, AL	Self
4	06/25/2019	Speech	\$3,500.00	Mobile Touchdown Club Mobile, AL	A Charity
5	10/21/2019	Speech	\$2,000.00	Macon Touchdown Club, Inc. Macon, GA	Self

**Part 2. Earned and Non-Investment Income**

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$57,638.84
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$1,938.66

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

Asset	Asset Type	Owner	Value	Income Type	Income
1 <b>Hancock Whitney Bank</b> (Santa Rosa Beach, FL) <i>Type:</i> Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2 <b>Rental Property 1</b> <i>Description:</i> Condominium (Santa Rosa Beach, FL)	Real Estate Residential	Joint	\$250,001 - \$500,000	Rent/Royalties,	\$15,001 - \$50,000
3 <b>Rental Property 2</b> <i>Description:</i> Condominium (Santa Rosa Beach, FL)	Real Estate Residential	Joint	\$250,001 - \$500,000	Rent/Royalties,	\$50,001 - \$100,000
4 <b>Fidelity Joint Brokerage Account</b>	Brokerage/Managed Account	Joint			
4.1 <b>AAPL - Apple Inc.</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.2 <b>BA - The Boeing Company</b>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
4.3 <b>DG - Dollar General Corporation</b>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
4.4 <b>Fidelity (SPAXX)</b> (Boston, MA)	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	\$1,001 - \$2,500

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 Type: Brokerage Sweep  
 Asset  
 Account,

	Asset Type	Owner	Value	Income Type	Income
4.5	<b>BOX - Box, Inc.</b> Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
4.6	<b>PENN - Penn National Gaming, Inc.</b> Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
4.7	<b>TDOC - Teladoc Health, Inc.</b> Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
4.8	<b>TDY - Teledyne Technologies Incorporated</b> Corporate Securities Stock	Joint	\$50,001 - \$100,000	Capital Gains,	\$50,001 - \$100,000
4.9	<b>WY - Weyerhaeuser Company</b> Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
5	<b>Prudential Variable Annuity</b> Provider: Prudential	Self			
5.1	<b>AST Preservation Asset Allocation Portfolio</b> Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.2	<b>AST AllianzGI World Trends Portfolio</b> Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.3	<b>AST Fidelity Institutional AM* Quant</b> Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.4	<b>AST J.P. Morgan Global Thematic</b> Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.5	<b>AST Prudential Growth Allocation</b> Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.6	<b>AST Investment Grade Bond Portfolio</b> Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6	<b>Lincoln IRA</b> Retirement Plans IRA	Self			
6.1	<b>Fidelity (SPAXX)</b> (Boston, MA) Type: Brokerage Sweep Account,	Self	\$100,001 - \$250,000	None,	None (or less than \$201)

	<b>GOOGL</b> - Alphabet Inc. Asset	Corporate Securities Asset Type	Self Owner	\$50,001 - \$100,000	None, Income Type	None (or less than \$201) Income
6.2	<b>GOOGL</b> - Alphabet Inc. Asset	Corporate Securities Stock	Self	\$50,001 - \$100,000	None, Income Type	None (or less than \$201) Income
6.3	<b>AAPL</b> - Apple Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.4	<b>C</b> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.5	<b>GE</b> - General Electric Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.6	<b>GM</b> - General Motors Company	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.7	<b>NUJ</b> - Nucor Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.8	<b>PFE</b> - Pfizer Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.9	<b>PM</b> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.10	<b>TEVA</b> - Teva Pharmaceutical Industries Limited	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.11	<b>UA</b> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.12	<b>UAA</b> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.13	<b>VZ</b> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.14	<b>WMT</b> - Walmart Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.15	<b>FPADX</b> - Fidelity Emerging Markets Index Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.16	<b>FSPSX</b> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.17	<b>FSDMX</b> - Fidelity Mid Can Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

Item	Asset Category	Owner	Value	Asset Type	Notes
6.18	<b><u>GENX</u> - First Eagle Global Fund Class A</b>	Mutual Funds Mutual Fund	\$15,001 - \$50,000	None	None (or less than \$201)
6.19	<b><u>EBLX</u> - Eaton Vance Floating - Rate Fund Class A</b>	Mutual Funds Mutual Fund	Self \$15,001 - \$50,000	None	None (or less than \$201)
6.20	<b><u>FTBFX</u> - Fidelity Total Bond Fund</b>	Mutual Funds Mutual Fund	Self \$50,001 - \$100,000	None	None (or less than \$201)
6.21	<b><u>PONAX</u> - PIMCO Income Fund Class A</b>	Mutual Funds Mutual Fund	Self \$50,001 - \$100,000	None	None (or less than \$201)
6.22	<b><u>PBDAX</u> - PIMCO Investment Grade Credit Bond Fund Class A</b>	Mutual Funds Mutual Fund	Self \$50,001 - \$100,000	None	None (or less than \$201)
6.23	<b><u>PRFRX</u> - T. Rowe Price Floating Rate Fund</b>	Mutual Funds Mutual Fund	Self None (or less than \$1,001)	None	None (or less than \$201)
6.24	<b><u>TPINX</u> - Templeton Global Bond Fund Class A</b>	Mutual Funds Mutual Fund	Self None (or less than \$1,001)	None	None (or less than \$201)
6.25	<b><u>IDIV</u> - First Trust NASDAQ Technology Dividend Index Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	None	None (or less than \$201)
6.26	<b><u>IVV</u> - iShares Core S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self \$50,001 - \$100,000	None	None (or less than \$201)
6.27	<b><u>IYE</u> - iShares U.S. Energy ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self None (or less than \$1,001)	None	None (or less than \$201)
6.28	<b><u>XLP</u> - Consumer Staples Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	None	None (or less than \$201)
6.29	<b><u>XLF</u> - Financial Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self \$15,001 - \$50,000	None	None (or less than \$201)
6.30	<b><u>XLU</u> - Utilities Select</b>	Mutual Funds	Self \$15,001 - \$50,000	None	None (or less than \$201)

	<b>Sector SPDR Fund</b>	Exchange Traded Asset/Note	Owner	\$50,000 Value	Income Type	Income
6.31	<b>XLV - Health Care Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.32	<b>XAR - SPDR S&amp;P Aerospace &amp; Defense ETF</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.33	<b>VIG - Vanguard Dividend Appreciation Index Fund ETF Shar</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.34	<b>DHS - WisdomTree U.S. High Dividend Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.35	<b>QQQ - Invesco QQQ Trust</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.36	<b>EFAV - iShares Edge MSCI Min Vol EAFE ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.37	<b>PFF - iShares Preferred and Income Securities ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
7	<b>Lincoln Joint Brokerage Account</b>	Brokerage/Managed Account	Joint			
7.1	<b>Fidelity (SPAXX)</b> (Boston, MA) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
7.2	<b>ABBV - AbbVie Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.3	<b>ACN - Accenture plc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.4	<b>ADBE - Adobe Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.5	<b>AGN - Allergan plc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

7.6	<b>GOOGL</b> - Alphabet Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.7	<b>AWK</b> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.8	<b>ABC</b> - AmerisourceBergen Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.9	<b>AMGN</b> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.10	<b>AAPL</b> - Apple Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.11	<b>ADM</b> - Archer-Daniels-Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.12	<b>BDX</b> - Becton, Dickinson and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.13	<b>FUN</b> - Cedar Fair, L.P.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.14	<b>CELG</b> - Celgene Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
7.15	<b>CTAS</b> - Cintas Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.16	<b>CSX</b> - CSX Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.17	<b>CVS</b> - CVS Health Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.18	<b>STZ</b> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.19	<b>DEO</b> - Diageo plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.20	<b>DIS</b> - The Walt Disney Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.21	<b>D</b> - Dominion Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
7.22	<b>DOM</b> - Dominion Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000

7.24	<b>LOW</b> - <b>Low inc.</b> Asset	Corporate securities Stock	joint Owner	\$1,001 - \$15,000	Dividends, Income Type	\$201 - \$1,000 Income
7.23	<b>DUK</b> - <b>Duke Energy Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.24	<b>XOM</b> - <b>Exxon Mobil Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
7.25	<b>FDX</b> - <b>FedEx Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.26	<b>GD</b> - <b>General Dynamics Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.27	<b>GILD</b> - <b>Gilead Sciences, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.28	<b>GSBD</b> - <b>Goldman Sachs BDC, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
7.29	<b>GS</b> - <b>The Goldman Sachs Group, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.30	<b>HON</b> - <b>Honeywell International Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.31	<b>JD</b> - <b>JD.com, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.32	<b>JNJ</b> - <b>Johnson &amp; Johnson</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.33	<b>JPM</b> - <b>JPMorgan Chase &amp; Co.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.34	<b>KMB</b> - <b>Kimberly-Clark Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.35	<b>LLY</b> - <b>Eli Lilly and Company</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.36	<b>LMT</b> - <b>Lockheed Martin Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.37	<b>MA</b> - <b>Mastercard Incorporated</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.38	<b>MCD</b> - <b>McDonald's</b>	Corporate Securities	Joint	\$15,001 -	Dividends,	\$201 - \$1,000



	<u>Corporation</u> Asset	Stock Asset Type	Owner	\$50,000 Value	Income Type	Income
7.39	<b><u>MDT</u> - Medtronic plc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.40	<b><u>MSFT</u> - Microsoft Corporation</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.41	<b><u>NSRGY</u> - Nestlé S.A.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.42	<b><u>NEE</u> - NextEra Energy, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.43	<b><u>ORCL</u> - Oracle Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.44	<b><u>PEP</u> - PepsiCo, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.45	<b><u>PFE</u> - Pfizer Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.46	<b><u>PM</u> - Philip Morris International Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
7.47	<b><u>PG</u> - The Procter &amp; Gamble Company</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.48	<b><u>PRU</u> - Prudential Financial, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.49	<b><u>QCOM</u> - QUALCOMM Incorporated</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.50	<b><u>SIEGY</u> - Siemens Aktiengesellschaft</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$2,501 - \$5,000
7.51	<b><u>SLF</u> - Sun Life Financial Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.52	<b><u>TXN</u> - Texas Instruments Incorporated</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.53	<b><u>TSCO</u> - Tractor Supply Company</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.54	<b><u>TRV</u> - The Travelers</b>	Corporate Securities	Joint	\$15,001 -	Dividends,	\$201 - \$1,000

	<b>Companies, Inc.</b>	Stock Asset Type	Owner	Value	Income Type	Income
7.55	<b>TSN - Tyson Foods, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.56	<b>UNP - Union Pacific Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.57	<b>UPS - United Parcel Service, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.58	<b>UTX - United Technologies Corporation</b>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
7.59	<b>VLO - Valero Energy Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.60	<b>VZ - Verizon Communications Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.61	<b>WMT - Walmart Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.62	<b>XEL - Xcel Energy Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.63	<b>ALABAMA PUB HSG AUTHS CAP PROGRAM REVENUE BOND (01039WAZ5)</b> <i>Rate/Coupon: 4.45%</i> <i>Matures: 01/01/2024</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$1,001 - \$2,500
7.64	<b>ALABAMA STATE UNIV REV GEN TUITION FEE REVENUE BOND (010632PA9)</b> <i>Rate/Coupon: 4.5%</i> <i>Matures: 09/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.65	<b>ALABAMA STATE UNIV REV GEN TUITION FEE REVENUE BOND (010632PC5)</b> <i>Rate/Coupon: 4.5%</i> <i>Matures: 09/01/2024</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.66	<b>BIRMINGHAM ALA GO</b>	Government	Joint	\$50,001 -	Interest,	\$1,001 - \$2,500

	<b>WTS SER (09088RJ31)</b> Asset Type: <b>Securities</b> Rate/Coupon: 5% Matures: 12/01/2043	<b>Asset Type: Municipal Security</b>	Owner	\$100,000 Value	Income Type	Income
7.67	<b>NEW YORK NY GO BDS (64966MHL6)</b> Rate/Coupon: 5.0% Matures: 12/01/2041	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
7.68	<b>NPFCX - American Funds New Perspective Fund Class C</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
7.69	<b>FGSCX - Federated MDT Mid Cap Growth Fund Class C Shares</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
7.70	<b>ANACX - AB Global Bond Fund Class C</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
7.71	<b>JHCBX - John Hancock Bond Fund Class C</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
7.72	<b>PONCX - PIMCO Income Fund Class C</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
7.73	<b>CARR - Carrier Global Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7.74	<b>BMY - Bristol-Myers Squibb Company</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.75	<b>CTVA - Corveva, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.76	<b>OTIS - Otis Worldwide Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7.77	<b>DGX - Quest Diagnostics Incorporated</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.78	<b>RTX - Raytheon Technologies</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset Type	Owner	Value	Income Type	Income
8	<b>Corporation</b> <b>Regions Bank</b> (Destin, FL) Type: Checking,	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
9	<b>Southern States Bank</b> (Auburn, AL) Type: Checking, Money Market Account,	Joint	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
10	<b>Fifth Third Bank</b> (Cincinnati, OH) Type: Checking, Savings,	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
11	<b>Alabama Pension</b>	Self	Unascertainable	Other, (Pension Payment)	Other \$57,638.84
12	<b>University of Miami Pension</b>	Self	Unascertainable	None,	None (or less than \$201)
13	<b>Disney SIP</b>	Self	Unascertainable	None,	None (or less than \$201)
13.1	<b>BTC Lifepath 2020 L</b>	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.2	<b>BTC LifePath Ret F</b>	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
14	<b>LPL Financial Joint Brokerage Account</b>	Joint			
14.1	<b>ADBE - Adobe Inc.</b>	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.2	<b>BABA - Alibaba Group Holding Limited</b> <i>Filer comment: No longer held</i>	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.3	<b>GOOG - Alphabet Inc.</b>	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.4	<b>AAPL - Apple Inc.</b>	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.5	<b>ANET - Anet Networks</b>	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

14.7	ASSET - PUBLIC HOLDINGS, Asset	CORPORATE SECURITIES Asset Type	JOINT Owner	\$1,001 - \$15,000 Value	INCOME, Income Type	INCOME (OR LESS THAN \$201) Income
14.6	<b>ASML - ASML Holding N.V.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.7	<b>ADP - Automatic Data Processing, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.8	<b>BRK-B - Berkshire Hathaway Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
14.9	<b>BFB - Brown-Forman Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.10	<b>CPB - Campbell Soup Company</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.11	<b>CTXS - Citrix Systems, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.12	<b>KO - The Coca-Cola Company</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.13	<b>CGNX - Cognex Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.14	<b>CSX - CSX Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.15	<b>EW - Edwards Lifesciences Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.16	<b>EA - Electronic Arts Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.17	<b>LLY - Eli Lilly and Company</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.18	<b>FB - Facebook, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.19	<b>FDS - FactSet Research Systems Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.20	<b>FLT - FLEETCOR Technologies, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.21	<b>FTNT - Fortinet, Inc.</b>	Corporate Securities	Joint	None (or less	None,	None (or less than \$201)

	Asset	Stock	Owner	Value	Income Type	Income
	<b>FOXA</b> - <b>Fox Corporation</b>	Asset Type Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.22	<b>GRMIN</b> - <b>Garmin Ltd.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.24	<b>GNTX</b> - <b>Gentex Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.25	<b>GGG</b> - <b>Graco Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.26	<b>HEI</b> - <b>HEICO Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.27	<b>IEX</b> - <b>IDEX Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.28	<b>ITW</b> - <b>Illinois Tool Works Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.29	<b>INTC</b> - <b>Intel Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.30	<b>INTU</b> - <b>Intuit Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.31	<b>JKHY</b> - <b>Jack Henry &amp; Associates, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.32	<b>JNJ</b> - <b>Johnson &amp; Johnson</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.33	<b>KLAC</b> - <b>KLA Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.34	<b>LRCX</b> - <b>Lam Research Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.35	<b>LULU</b> - <b>Lululemon Athletica Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.36	<b>MKTX</b> - <b>MarketAxess Holdings Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14.37	<b>MAR</b> - <b>Marriott International, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.38	<b>MA</b> - <b>Mastercard Incorporated</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

14.39	<b>MAXIM - Maxim Integrated Products, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.40	<b>MRK - Merck &amp; Co., Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.41	<b>MTD - Mettler-Toledo International Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.42	<b>MSFT - Microsoft Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.43	<b>MNST - Monster Beverage Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.44	<b>MCO - Moody's Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.45	<b>NVDA - NVIDIA Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.46	<b>PAYX - Paychex, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.47	<b>PEP - PepsiCo, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.48	<b>TROW - T. Rowe Price Group, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.49	<b>RMD - ResMed Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.50	<b>RCL - Royal Caribbean Cruises Ltd.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14.51	<b>SPGI - S&amp;P Global Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.52	<b>TXN - Texas Instruments Incorporated</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.53	<b>UI - Ubiquiti Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.54	<b>VEEV - Veeva Systems Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
14.55	<b>VRSK - Verisk Analytics, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

Inc.	STOCK	ASSET TYPE	OWNER	Value	None (or less than \$201)
14.56	<u>V</u> - Visa Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None (or less than \$201)
14.57	<u>VMW</u> - VMware, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None (or less than \$201)
14.58	<u>XLNX</u> - Xilinx, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None (or less than \$201)
14.59	<u>ZTS</u> - Zoetis Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None (or less than \$201)
14.60	<u>BBN</u> - BlackRock Taxable Municipal Bond Trust	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund, \$201 - \$1,000
14.61	<u>DSL</u> - DoubleLine Income Solutions Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Excepted Investment Fund, \$201 - \$1,000
14.62	<u>EVT</u> - Eaton Vance Tax- Advantaged Dividend Income Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund, \$201 - \$1,000
14.63	<u>PTY</u> - PIMCO Corporate & Income Opportunity Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund, \$201 - \$1,000
14.64	<u>PSDYG</u> - Putnam Ultra Short Duration Income Fund Class Y	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	None (or less than \$201) Excepted Investment Fund, \$201 - \$1,000
14.65	<u>AMAT</u> - Applied Materials, Inc. <i>Strike price: \$60.00   Put Expires: 07/17/2020</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None (or less than \$201) None
14.66	<u>NVDA</u> - NVIDIA Corporation <i>Strike price: \$240.00   Put Expires: 09/18/2020</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None (or less than \$201) None
15	<b>McVean Trading Brokerage Account</b>	Brokerage/Managed Account	Self		
15.1	<b>Put - April 2020 Feeder Cattle - Exp 5/01/2020</b>	Commodities/Futures Contract	Self	\$1,001 - \$15,000	None (or less than \$201) None



15.2	<b>August 2019 Cattle</b> Asset	Commodities/Futures Contract	Self Owner	None (or less than \$1,001)	Capital Gains, Income Type	\$5,001 - \$15,000 Income
15.3	<b>October 2019 Cattle</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
15.4	<b>July 2019 CBT Corn</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
15.5	<b>Call December 2019 CBT Corn</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
15.6	<b>December 2019 Cattle</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
15.7	<b>Call October 2019 CME Lean Hogs</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
15.8	<b>October 2019 CME Lean Hogs</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
15.9	<b>August 2019 Feeder Cattle</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
15.10	<b>September 2019 CBT Corn</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
15.11	<b>February 2020 Cattle</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
15.12	<b>December 2019 CBT Corn</b>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
15.13	<b>June 2020 Cattle</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
15.14	<b>December 2019 KC Red Wheat</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
15.15	<b>Call January 2020 Bean Meal</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
15.16	<b>April 2020 Cattle</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
15.17	<b>March 2020 CBT Corn</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
15.18	<b>Put April 2020 Feeder Cattle</b>	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000

15.19	<b>May 2020 Feeder Cattle</b>	Asset	Commodities/Futures Contract	Self	Owner	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
15.20	<b>Call October 2020 Cattle</b>		Commodities/Futures Contract	Self		None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
15.21	<b>August 2020 Cattle</b>		Commodities/Futures Contract	Self		None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
15.22	<b>McVean Trading &amp; Investments, LLC</b> (Memphis, TN) Type: Brokerage Sweep Account,		Bank Deposit	Self		\$15,001 - \$50,000	None	None (or less than \$201)
16	<b>Tiger Farms, LLC</b> Company: Tiger Farms, LLC (Auburn, Alabama) Description: Real property holding company		Business Entity Limited Liability Company (LLC)	Joint				
16.1	<b>Unimproved land</b> Description: Unimproved land (Dadeville, Alabama)		Real Estate Unimproved Land	Joint		\$100,001 - \$250,000	None	None (or less than \$201)

**Part 4a. Periodic Transaction Report Summary**

**Not required**

**Part 4b. Transactions**

**Not required**

**Part 5. Gifts**

**Not required**

**Part 6. Travel**  
**Not required**

**Part 7. Liabilities**

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **No**

**Part 8. Positions**

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jul 2017 to Jun 2018	Other (On-Air Sports Broadcaster)	American Broadcasting Companies, Inc. (ESPN) Bristol, CT	Corporation	None
2	Sep 2018 to Nov 2018	Other (Online Radio Sports Broadcaster)	Backroads Sports Network LLC Destin, FL	Company	None
3	Mar 2015 to present	Other (Chairman of the Board)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	None
4	Sep 2018 to Nov 2018	Other (Guest Columnist)	The Athletic Media Company San Francisco, CA	Corporation	None
5	Jun 2010 to Sep 2018	Other (Member)	EB-Bay Lot No. 1, LLC Santa Rosa Beach, Florida	Company	Held no reportable assets and generated no reportable income during reporting period
6	Oct 2010 to Sep 2018	Other (Member)	EB-Bay Lot No.2, LLC Santa Rosa Beach, Florida	Company	Held no reportable assets and generated no reportable income during reporting period
7	Mar 2011 to Sep 2018	Other (Member)	EB-Bay Lot No.3, LLC Santa Rosa Beach, Florida	Company	Held no reportable assets and generated no reportable income during reporting period
8	Feb 2005 to present	Other (Member)	Tiger Farms, LLC Auburn, Alabama	Company	None

**Part 9. Agreements**

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is currently receiving monthly payments.
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is not yet receiving payments or distributions.
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit-sharing plan from which the candidate is not currently receiving income
4	Nov 2009	WB Studio Enterprises Inc. Burbank, CA	Royalty Agreement	Agreement to receive continuing royalties from movie appearance in The Blind Side

### Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	Backroads Sports Network LLC Destin, FL	Participate in and provide commentary for online sports radio broadcasts and content.
2	American Broadcasting Companies, Inc. (ESPN) Bristol, CT	Participate in and provide commentary for on-air television sports broadcasts.
3	Retirement Systems of Alabama Birmingham, AL	Pension payment

### Attachments & Comments

*No attachments added.  
No comments added.*

